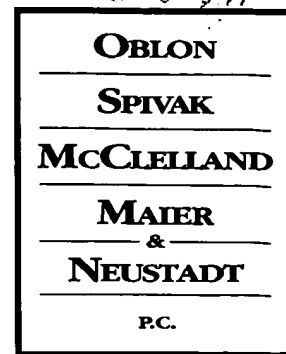




COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

Docket No.: 249223US2 CONT



ATTORNEYS AT LAW

RE: Application Serial No.: 10/784,714
Applicants: Yoshiharu OOI et al.
Allowed: September 23, 2005
Filing Date: February 24, 2004
For: MULTI-LAYER DIFFRACTION TYPE POLARIZER
AND LIQUID CRYSTAL ELEMENT
Group Art Unit: 2871
Examiner: CHUNG, D. Y.

SIR:

Attached hereto for filing are the following papers:

PETITION UNDER 37 C.F.R. § 1.181(a)(3)
Copy of Date-stamped Filing Receipt (October 28, 2005)
Copy of Information Disclosure Statement (filed October 28, 2005)
Copy of Form PTO-1449 (filed October 28, 2005)

Our check in the amount of - is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Gregory J. Maier
Registration No. 25,599
Remus F. Fetea, Ph.D.
Limited Recognition No. L0037

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22850

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DOCKET NO: 249223US2 CONT



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
YOSHIHARU OOI ET AL. : EXAMINER: CHUNG, D. Y.
SERIAL NO: 10/784,714 :
ALLOWED: SEPTEMBER 23, 2005 : GROUP ART UNIT: 2871
FOR: MULTI-LAYER DIFFRACTION : FILED: FEBRUARY 24, 2004
TYPE POLARIZER AND LIQUID
CRYSTAL ELEMENT

PETITION UNDER 37 C.F.R. § 1.181(a)(3)

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a Petition pursuant to 37 CFR §1.181 (a)(3) requesting that the Examiner be provided with the application file in order to provide below noted Form PTO-1449 that indicates consideration of the references listed thereon.

STATEMENT OF FACTS

On October 28, 2005, Applicants filed an Information Disclosure Statement (IDS) under 37 CFR § 1.97, which filing is clearly documented by the attached copy of the IDS, the Form PTO-1449, and the date-stamped filing receipt. The PTO has not responded to this IDS filing by supplying Applicants with a copy of the Form PTO-1449 filed October 28, 2005, that has been properly initialed by the Examiner.

ACTION REQUESTED

In light of the above, it is respectfully requested that the Examiner be supplied with the application file so that he can provide Applicants a copy of the above-noted Form PTO-1449 filed October 28, 2005, properly initialed by the Examiner in correspondence with the rules.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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(OSMMN 06/04)

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Gregory J. Maier

Attorney of Record

Registration No. 25,599

Remus F. Fetea, Ph.D.

Limited Recognition No. L0037



OSMM&N File No. 249223US2 CONT

Dept.: E/M

By: GJM/RFF/ys

✓ Serial No. 10/784,714

In the matter of the Application of: Yoshiharu OOI et al.

For: MULTI-LAYER DIFFRACTION TYPE POLARIZER AND LIQUID
CRYSTAL ELEMENT

Due Date: October 31, 2005

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | |
|--|-------------------------|
| ■ Credit Card Form for <u>\$180.00</u> | ■ Dep. Acct. Order Form |
| ■ Information Disclosure Statement | ■ PTO-1449 |
| ■ Cited References <u>3</u> | |
| ■ Supplementary European Search Report | |



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Docket No. 249223US2 CONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshiharu OOI et al.

SERIAL NO: 10/784,714

GAU: 2871

ALLOWED: September 23, 2005

FILED: February 24, 2004

EXAMINER: CHUNG, D. Y.

FOR: MULTI-LAYER DIFFRACTION TYPE POLARIZER AND LIQUID CRYSTAL ELEMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

CCPY

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, which were cited in the attached Supplementary European Search Report. Copies of the listed references are attached with category of relevancy. The references cited in the Supplementary European Search Report but not listed on the form PTO-1449 were already filed with the European Search Report on August 17, 2005.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Customer Number

22850

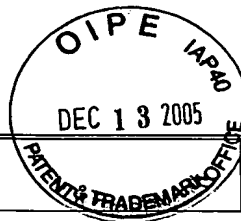
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I:\ATTY\RF\249223\249223-IDS-Oct28.DOC

Gregory J. Maier

Registration No. 25,599

Remus F. Fetea, Ph.D.

Limited Recognition No. L0037



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 249223US2 CONT		SERIAL NO. 10/784,714	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshiharu OOI et al.		COPY	
				FILING DATE February 24, 2004			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,271,905 B1	08/07/2001	SEKIME et al.			
	AB	5,619,356	04/08/1997	KOZO et al.			
	AC	5,473,465	12/05/1995	YE			
	AD	4,505,546	03/19/1985	UMEDA et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	GB 2326245 A	12/16/1998	UNITED KINGDOM			
	AP	0 539 847 A1	05/05/1993	EUROPE			
	AQ	0 463 723 A2	01/02/1992	EUROPE			
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							